Sm 165/

JUN 2 8 2006

Docket No. JAB-1741USPCT

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Karel Six et al.

Serial No.: 10/518,987

Art Unit: 1651

Filed

: December 21, 2004

Examiner: Singh, S.K.

For

: SOLID DISPERSIONS COMPRISING TWO DIFFERENT POLYMER

MATRIXES

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

June 26, 2006
(Date of Deposit)

Hal B. Woodrow (Name of applicant, assignee, or Registered Representative)

/Hal Brent Woodrow, Reg. No. 32,501/
(Signature)

June 26, 2006

(Date of Signature)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §\$1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

 \boxtimes In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under $\S1.53(d)$), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required. In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with \(\square\$ the first or second After Final Submission, therefore: Statement in Accordance with §1.97(e) (attached); or Please charge Deposit Account No. 10the fee of \$180.00 as set forth in §1.17(p). In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or

an action that accompanied by	otherwise closes prosecution and that it is one of:
	Statement in Accordance with §1.97(e) (attached); or
, □	Please charge Deposit Account No. 10-0750/JAB1741/HW the fee of \$180.00 as set forth
	in §1.17(p).
☐ In a	accordance with §1.97(d), this Information
Disclosure Sta	tement is being filed after the mailing date of
either a Final	Action under §1.113 or a Notice of Allowance
under § 1.311 k	out before the payment of the Issue Fee.
Applicant(s) h	ereby petition(s) for consideration of this
Information Di	sclosure Statement. Included are: Statement in
Accordance wit	h § 1.97(e) as set forth below and the fee of
\$180 00 as set	forth in § 1.17(p).
	Local Lie Baran (p)
☐ Copi	es of each of the references listed on the
attached Form	PTO-1449 are enclosed herewith.
	es of references listed on the attached Form PTO-
	In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
	If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's

 \boxtimes Copies of only foreign patent documents and nonpatent literature are enclosed in accordance with 37 CFR 1.98 (a)(2). (The U.S. patents and each U.S. patent application publication listed on the attached Form PTO-1449 are not enclosed because this U.S. patent application was filed after June 30, 2003 or this international application has entered the national stage under 35 USC §371 after June 30, 2003 (see USPTO waiver of requirement under 37 CFR 1.98 (a)(2)(i). 冈 There are no listed references which are not in the English language. The relevance of those listed references which are not in the English language can be found in an English-language abstract attached thereto. Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D. Attached are the following non-published pending patent applications which may be deemed relevant, which are

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/JAB-1741/HBW. This form is submitted in triplicate.

listed on the attached Submission Under MPEP 609 D.

Respectfully submitted,

/Hal Brent Woodrow/
Hal B. Woodrow
Reg. No. 32,501
Attorney for Applicants

Johnson & Johnson One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003 (732) 524-2976 DATED: June 26, 2006



PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet 1 of 2

a collection of information unless it displays a	valid ONIB CONTROL HUMBER.
Application Number	10/518,987
Filing Date	12/21/2004
First Named Inventor	Karel Six et al.
Group Art Unit	1651
Examiner Name	Singh, S.K.
Attorney Docket Number	JAB-1741USPCT

U.S. PATENT DOCUMENTS							
Examiner Initials	Cite	Cite	U.S. Patent Do	Current Kind Code ²	Name of Patentee or Applicant	Date of Publication of Cited Document	Pages, Columns, Lines, where relevant passages or
	No.1	Number	(if known)	of Cited Document	mm-dd-yyyy	relevant figures appear	
	<u> </u>						
	1			1			
						-	
						-	
	1				1	l	

FOREIGN PATENT DOCUMENTS Foreign Patent Document Date of Publication Pages, Columns, Lines, of Cited Document Name of Patentee or where relevant Examiner Cite passages or relevant mm-dd-yyyy Applicant of Cited Document Initials figures appear Office³ Number⁴ KindCode⁵ No.1 wo 02/11694 **A2** Knoll GmbH 2-14-2002 wo 99/33467 A1 Choon-Wae Phama Coporation 7-08-1999 wo 97/44014 Janssen Pharmaceutica N.V. 11-27-1997

Examiner		Date	1	
Signature		Considered	l	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

JUN 2 8 2006

PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
o a collection of information unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 2 of 2

a collection of information unless it displays a	a collection of information unless it displays a valid OMB control number.					
Application Number	10/518,987					
Filing Date	12/21/2004					
First Named Inventor	Karel Six et al.					
Group Art Unit	1651					
Examiner Name	Singh, S.K.					
Attorney Docket Number	JAB-1741USPCT					

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner's Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		T. Matsumoto et al., Pharm. Res., 16 (1999) 1722-2728	
		G. Van den Mooter et al., Eur. J. Pharm. Sci. 12 (2001) 261-269	
		G.L. Amidon et al., Pharm. Res., 12 (1995) 413-420	
		K. Six et al., Int. J. Pharm., 213 (2001) 163-173	
		S. Gordon et al., Appl. Chem. 2 (1952) 493-500	
_		F.N. Kelley et al., J. Pol. Sci. 50 (1961) 549-556	
		R. Simha et al., J. Chem. Phys. 37 (1962) 1003-1007	
		F. Damian et al., J. Pharmacy and Pharmacology (2001) 53: 1109-1116	
		G. Van den Mooter et al., Thermal Analysis and Calonmetry (1999), 57, 493-507	†
		K. Six et al., Thermochim. acta, 376 (2001), 175-181	
		B.C. Hancock et al., Pharm. Res., Vol. 17, No. 4 (2000) 397-404	
		M. Tros de Llarduya et al., Drug Dev. Ind. Pharm (1998) 24:295-300	1
· · · · · · · · · · · · · · · · · · ·			
			+
-			
	 		+
			
	I		1

Examiner	Date	
Signature	Considered	<u> </u>

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

JUN 2 8 2006

SUBMISSION UNDER MPEP 609 D

Page 1 of 1

Application Number	10/518,987
Filing Date	12/21/2004
First Named Inventor	Karel Six et al.
Group Art Unit	1651
Examiner Name	Singh, S.K.
Attorney Docket Number	y JAB-1741USPCT

U.S. PATENT DOCUMENTS

	U.S. FATENT DOCUMENTS						
			U.S. Patent Docum	nent			
Examiner Initials	Cite No. ¹	Name of Patentee or Applicant of Cited Document	Kind Cod Number (if known		Pages, Columns, Lines, where relevant passages or relevant figures appear		
				,			
			· · · · · · · · · · · · · · · · · · ·				
					- ·		
			· · · · · ·				

FOREIGN PATENT DOCUMENTS

		TOTILIAI		DOCUMEN			
Examiner Initials	Cite No. ¹	Name of Patentee or Applicant of Cited Document	Foreign Pa	Number ⁴	rnt KindCode ⁵	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
					ì		
				1	-		
					i		
				 	+		
				1	<u> </u>		
						•	
		OTHER PRIOR ART - NO	ON DATENT	LITEDATUD	E DOCUMEN	l Te	<u> </u>
		Include name of the author (in C					Γ
Examiner 's Initials*	Cite No. ¹	title of the item (book, magazine volume-issue number(s	, journal, se	erial, sympo	sium, catalo	g, etc.), date, page(s),	T ²
	,	PCT Search Report for PCT/EP03/0699	9 dated Nov	rember 12, 20	03 (Atty. Dock	(et JAB-1741 PCT)	
				;	··· -		
					•		
			<u> </u>				
	-	,					-

Examiner	Date	
Signature	 Considered	